**PATENT** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Bota, Victor

Application No.: 10/659,445

Group No.: Not Assigned Not Assigned

For: APPARATUS AND METHOD FOR AUTOMATED PRODUCTION OF ADJUSTABLE DUCT

**MEMBER** 

**Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))

#### IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

Date: October 7, 2003

Reg. No.: 45,835

Tel. No.: 330-864-5550

Customer No.: 021324

Date: October 7, 2003

Robert J. Clark

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## CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10\*

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Victor Bota Examiner: Not Assigned

Serial Number: 10/659,445 Art Unit: Not Assigned

Filing Date: September 10, 2003 Date: October 7, 2003

FOR: APPARATUS AND METHOD FOR AUTOMATED PRODUCTION OF

ADJUSTABLE DUCT MEMBER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97

This Information Disclosure Statement is filed within three months of the filing date of this application or before the mailing of an Office Action on the merits, whichever event occurs last, and therefore is considered timely under 37 CFR §1.97(b).

According to the terms of 37 CFR §1.97(g), this Disclosure Statement shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13, at 25. This Information Disclosure Statement is made to comply with the duty of candor imposed on all individuals associated with the filing or prosecution of this application, as defined by 37 CFR 1.56(c).

A list of eight (8) references cited by the applicant is enclosed on the PTO/SB/08A & PTO/SB/08B (substitute PTO-1449) forms, which are attached and made a part thereof.

The foregoing Information Disclosure Statement is based upon the information contained in the undersigned attorney's file as of the filing date of this statement, and is inclusive of the best information known to the undersigned at that date. Prompt consideration of the Information Disclosure Statement and the references by the Examiner is requested.

Respectfully submitted,

HAHN LOESER & PARKS, LLP

Robert J. Clark

Registration No. 45,835

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Attorney Docket: 116719.00011

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#### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Application Number 10/659,445 Filing Date 09/10/2003 First Named Inventor Victor Bota Art Unit Not Assigned **Examiner Name** Not Assigned 116719.00011

(Use as many sheets as necessary Attorney Docket Number Sheet

|                       |  |  | U. S. PATENT                   | DOCUMEN 15   | Pages, Columns, Lines, Where                    |
|-----------------------|--|--|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No.1                                     | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Relevant Passages or Relevant<br>Figures Appear |
| mado                  |  | Number-Kind Code <sup>2 (if known)</sup> |                                | D 11-4   |   |
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| FOREIGN PATENT DOCUMENTS |              |   |                                |  |   |                |  |
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| Examiner<br>Initials*    | Cite<br>No.1 | Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> ( <i>if known</i> ) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>6</sup> |  |
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|            | Substitute for form 144557. To                                | Application Number                  | 10/659,445   |
|            | INFORMATION DISCLOSURE  | Filing Date                         | 09/10/2003   |
|            | STATEMENT BY APPLICANT  | First Named Inventor                | Victor Bota  |
|            | OTATEMENT DE SAN  | Art Unit                            | Not Assigned   |
|            | (Use as many sheets as necessary)                             | Examiner Name                       | Not Assigned   |
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| NON PATENT LITERATURE DOCUMENTS |              |   |                |  |  |  |
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| Examiner<br>Initials*           | Cite<br>No.1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |  |  |  |
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